

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

### Title of Invention

Hierarchical Entitlement System with Integrated Inheritance and  
Limit Checks

Application Number : 10/708920



Confirmation Number: 2919

First Named Applicant: Bruce Woodward

Attorney Docket Number: SYB/0106.01

Art Unit: 3624

Examiner: Examiner Unassigned

Search string: ( 6453353 or 6237036 or 6202066 or 6192405 or 6182142 or 6167441 or 6161139  
or 6154843 or 6092203 or 6088679 or 6085191 or 6055637 or 6023765 or 6014666  
or 5911143 or 5748890 or 5742759 ).pn

### US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/C.M./	1	6453353	2002-09-17	Win et al.		709	229
	2	6237036	2001-05-22	Ueno et al.		709	225
	3	6202066	2001-03-13	Barkley et al.		707	9
	4	6192405	2001-02-20	Bunnell		709	225
	5	6182142	2001-01-30	Win et al.		709	229
	6	6167441	2000-12-26	Himmel		709	217
	7	6161139	2000-12-12	Win et al.		709	225
	8	6154843	2000-11-28	Hart et al.		713	201
	9	6092203	2000-07-18	Ooki et al.		713	201
	10	6088679	2000-07-11	Barkley		705	8
	11	6085191	2000-07-04	Fisher et al.		707	9
	12	6055637	2000-04-25	Hudson et al.		713	201
	13	6023765	2000-02-08	Kuhn		713	200
	14	6014666	2000-01-11	Helland et al.		707	9
	15	5911143	1999-06-08	Deinhart et al.		707	103
✓	16	5748890	1998-05-05	Goldberg et al.		713	202
	17	5742759	1998-04-21	Nessett et al.		713	201

### Remarks

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**Signature**

Examiner Name	Date
/Clifford Madamba/	10/26/2007

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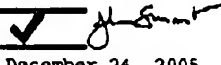
PTO/5021 (04-04)

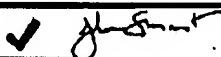
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/709,920	
	Filing Date	March 31, 2004	
	First Named Inventor	Woodward	
	Art Unit	3624	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	211	Attorney Docket Number	SYB/0106.01

<b>ENCLOSURES (Check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b> This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in Sec. 1.56. Copies of references are enclosed.		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	John A. Smart	
Signature	 <small>Obtain signed by John A. Smart Date 20050224 12:37:39 -0500</small>	
Date	December 24, 2005	

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
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Typed or printed name	John A. Smart	Phone: (408) 884 1507	
		Fax: (815) 572 8299	
Signature	 <small>Obtain signed by John A. Smart Date 20050224 12:37:39 -0500</small>	Date	12/24/2005

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Substitute for form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Application Number	10/708,920
		Filing Date	March 31, 2004
		First Named Inventor	Woodward
		Art Unit	3624
		Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	SYB/0100.01	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/C.M./		SUN MICROSYSTEMS, Java 2 Platform Enterprise Edition Specification, v. 1.4, Final Release, Sun Microsystems, Inc., Mountain View, CA, November 24, 2003	
/C.M./		SUN MICROSYSTEMS, JavaServer Pages(TM) Specification 2.0 Final Release (Overview), Sun Microsystems, Inc., Mountain View, CA, November 24, 2003	
/C.M./		SUN MICROSYSTEMS, INC., JDBC 3.0 Specification (Ch. 1-5), Final Release, Sun Microsystems, Inc., Mountain View, CA, October 2001	
/C.M./		W3C, HTML 4.01 Specification (Ch. 1-7), W3C, December 24, 1999	

Examiner Signature	/Clifford Madamba/	Date Considered	10/26/2007
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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

Hierarchical Entitlement System with Integrated Inheritance and  
Limit Checks

Application Number : 10/708920



Confirmation Number: 2919

First Named Applicant: Bruce Woodward

Attorney Docket Number: SYB/0106.01

Art Unit: 3624

Examiner: Examiner Unassigned

Search string: ( 6859212 or 6856970 or 6850893 or 6836776 or 6829333 or 6604113 or 6581068  
or 6513019 or 6466932 or 6405207 or 6385604 or 6317750 or 6289324 or 6205447  
or 6064988 or 6041314 or 6012049 or 5930764 or 5297026 ).pn

## US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/C.M/	1	6859212	2005-02-22	Kumar et al.		345	744
	2	6856970	2005-02-15	Campbell et al.		705	35
	3	6850893	2005-02-01	Lipkin et al.		705	8
	4	6836776	2004-12-28	Schreiber		707	100
	5	6829333	2004-12-07	Frazier		379	88.17
	6	6604113	2003-08-05	Kenyon et al.		707	104.1
	7	6581068	2003-06-17	Bensoussan et al.		707	104.1
	8	6513019	2003-01-28	Lewis		705	35
	9	6466932	2002-10-15	Dennis et al.		707	3
	10	6405207	2002-06-11	Petculescu et al.		707	102
	11	6385604	2002-05-07	Bakalash et al.		707	3
	12	6317750	2001-11-13	Tortolani et al.		707	103
	13	6289324	2001-09-11	Kawan		705	41
	14	6205447	2001-03-20	Malloy		707	102
	15	6064988	2000-05-16	Thomas		705	44
	16	6041314	2000-03-21	Davis		705	41
	17	6012049	2000-01-04	Kawan		705	41
↓	18	5930764	1999-07-27	Melchione et al.		705	10
	19	5297026	1994-03-22	Hoffman		705	14

## Remarks

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## Signature

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/Clifford Madamba/	10/26/2007

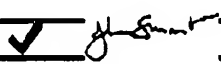
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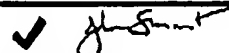
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/708,920
	Filing Date	March 31, 2004
	First Named Inventor	Woodward
	Art Unit	3624
	Examiner Name	Unassigned
	Attorney Docket Number	SYB/0106.01
Total Number of Pages in This Submission	61	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b> This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in Sec. 1.56. Copies of references are enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	John A. Smart	
Signature	 by John A. Smart Date: 2005 02 24 14:07:20 -0500	
Date	February 24, 2005	

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**Docket No. SYB/0106.01**

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Substitute for form 144B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/708,920
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Filing Date	March 31, 2004
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First Named Inventor	Woodward
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Art Unit	3624
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Examiner Name	Unassigned
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Sheet	1	of	1	Attorney Docket Number	9YB/0108.01
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## NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	/Clifford Madamba/	Date Considered	10/26/2007
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